

### A view from below: Perceived 'pressures' towards responsible research and changes in research careers

Nelius Boshoff
CREST, Stellenbosch University, South Africa

SUPER MoRRI Regional Webinar: Africa Session 28 April 2021

# Explanation of RRI in the South African White Paper on Science, Technology and Innovation (2019)

#### 2.2.9 Instilling a Responsible Research and Innovation approach to support environmental sustainability and ethical STI

STI can help build a basis for a knowledge-based society and a healthy economy, but it can also cause harm. South Africans should develop a shared normative understanding of what is appropriate for our reality. A South African RRI approach would rest on the following pillars, based on the European Union's RRI framework: (i) engagement of all societal actors throughout the process of framing societal challenges and developing joint solutions; (ii) addressing racial and gender transformation to unlock the full potential of South African society; (iii) improving the educational and skills profile of South Africans; (iv) increasing open access to STI; (v) maintaining a high level of ethics in terms of the relevance and acceptability of STI to society and environmental sustainability; and (vi) developing the required governance framework to drive the RRI agenda across the NSI.



## South African researchers perceive aspects of RRI as different sets of pressures

- Pressures to transform the research workforce
  - Profiles of postgraduate students (emerging researchers)
  - Profiles of research teams and research collaborators
- Pressures for research-stakeholder engagement and impact, e.g.
  - Engagements with business/industry, government, communities, etc.
  - o Contribution to people's lives, policy, economy, environment
  - Orientation towards SDGs
- Pressures to 'open up' research, e.g.
  - Data repositories
- Pressures to satisfy institutional research compliance requirements, e.g.
  - Research ethics
  - Institutional screening of project applications
  - Responsibility to publish in non-predatory journal outlets
- Pressures to satisfy institutional performance appraisal requirements

Perceived as funding pressures

Perceived as organisational (e.g. university) pressures



## NRF: Example of reporting on Human Capacity Development

SUMMARY OF STUDENTS PER LEVEL											
Supported Students/	Researcher/Other	В	ack	(	Coloured		Indian		White	Э	
Level	Total	Female	Male	Fema	ale Ma	le Fe	emale	Male	Female	Male	
					75						
Other student not su	pported by the NRF	E	Black		Coloured		Indian		White	Э	
Level	Total	Female	e Male	Fem	ale M	ale F	emale	Male	Female	Male	
Student supervised by	Student supervised by other members on the project			Black		Coloured		Indian		White	
Level	Total		Female	Male	Female	Male	Female	Male	Female	Male	



### NRF: Example of reporting on Science Engagement and Impact

#### Instructions

- o For the purposes of this application/report, the use of the overarching term science engagement is inclusive of all aspects of public engagement with science, science communication, science literacy as well as science outreach and awareness. It includes all participation by targeted groups of society in a programme aimed at generating mutual understanding and responses to science, including but not limited to awareness, accumulation of knowledge, enjoyment, opinion formulation and scientific literacy.
- ° It also embraces a broad understanding of "science" and "the sciences", encompassing systematic knowledge spanning natural and physical sciences, engineering sciences, medical sciences, agricultural sciences, mathematics, social sciences and humanities, technology, all aspects of the innovation chain and indigenous knowledge.
- Broader impact considers the impact of the activities/project on the public and/or targeted participants in terms of knowledge and/or awareness, behavioural and/or attitudinal change, skills acquisition etc.
- ° For more information, click here.

#### Explanation of Categories

Category	Objectives Delivery mode and content	Engagement experiences	Intended audience	Actual number reached	Broader impact	Edit	Delete	
----------	--------------------------------------	------------------------	-------------------	-----------------------	-------------------	------	--------	--

#### Instructions

"Impact" refers to both the tangible and intangible influence derived and/or caused by the research outcomes/outputs. "Impact" statements indicate what the researcher hopes to achieve, without introducing any bias, through his/her research (i.e. impact on Global Change and/or Bioeconomy, etc.).



### Winetech: Example of Science Engagement and Impact requirements

- 23. The results of all WINETECH funded research projects must be:
  - 1. presented to the end-users in the industry (Information days, Conferences)
  - 2. published in at least one scientific journal (Support to SAJEV is recommended)
  - 3. published in one popular magazine (Winetech Technical/Wineland magazine).
- 24. Researchers to liaise with the WINETECH Knowledge Transfer specialists before presenting WINETECH funded work to industry to ensure scientific communication is translated into a clear take-home message for improved engagement.

#### 



#### Argument

- The perceived pressures (and the power of their 'controls'/'checks') have potential to modify the 'collective career scripts' of researchers
- By modifying the research career scripts, new forms of research careers can emerge, better tailored to address the needs of South African society as envisaged in policy documents?
- Next: What is meant by 'career script'?



#### The idea of a career script

Script = interpretive scheme

Interpretive schemes are "specific cognitive structures that provide knowledge about situations and proven solutions for typical problems" (p .937)

Collective script = collective interpretive scheme that encodes appropriate behaviour in a given context

Career script = collective script that encodes sequences of stages in a career and decisions that produce these sequences Collective scripts, of which career scripts are examples, "mediate between institutions [rules and norms] and individual actions because they describe patterns emerging from individual actions that are shaped by these institutions" (p. 938)



## Three academic careers and their associated career scripts

88		
	Description of career	Content of career script
Cognitive career Community career	Sequence of thematically interconnected research processes Sequence of statutes and associated work roles in one's	Dynamics of originality, relevance, durability and thematic breadth of research Accumulation of reputation and sequence of statuses in one's scientific community
career	scientific community	or statuses in one's scientific community
Organizational career	Sequence of organizational positions as sets of rather unspecific work roles	Sequence of organizational positions which support a stable academic career and success in all three careers

Source: Laudel, G., Bielick, J. & Gläser, J. 2019. 'Ultimately the question always is: "What do I have to do to do it right?" Scripts as explanatory factors of career decisions. *Human Relations*, 72(5), 932-961. (Table 1, p. 939)



#### Example of a specific career script

(for experimental atomic and molecular optics physic)

Step 3: Step 4: Step 1: Step 2: Step 5: Cognitive Check with community Formulate IRP Realise Develop concept of create/ collect career for relevance and low and get approval IRP experimental design theoretical ideas competition by community Learn about theoretical concepts and methods Step 1: Step 2: Communitive Gain the status of a colleague Gain the status of a master career Increase reputation by continuously publishing in prestigious journals Step 1: Step 2: Organizational Obtain a Obtain one or two postdoctoral postions in another group, among them: a stay abroad career group leader position



## Some possible changes in research career scripts based on RRI 'pressures'

Community career	Cognitive career	Organisational career
Change in meaning of	Expanded community and	New performance appraisal
'community' – no longer	engagement with expanded	criteria for promotion (e.g.
scientific community only?	community will set	criteria for recognition of
	research agendas?	social impact)?
How is reputation gained		
in such an expanded	Perceived 'hassle' of	
community – no longer	research ethics clearance	
through publication in	facilitating a shift towards	
prestigious journals only?	research topics and	
	research approaches that	
New forms of 'quality' in	will 'easily pass' ethics	
research-related work?	clearance?	



#### Question

 In what ways are the practices and careers of (university) researchers expected to change as a result of RRI and its compliance/evaluation/assessment?





### Thank you