

SUPER MoRRI

Annual event 2022

April 28, online

Responsible research funding in Europe:
Insights from the SuMo study of RFOs



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Overview

- Background: the CCN-RFO study
- Objectives of the study
 - Research questions
- Participants
- Initial results

The CCN-RFO study

- Conducted in collaboration with our Country Correspondent Network (CCN) and International Satellite Partners (ISP)
- An open Research Protocol and two online training sessions
- CCs and ISPs collected policy and Funding Call documents
- Conducted one interview with an official from each RFO
- Wrote a Case Report following a template
- Quality assurance was managed through cross-reading and review in regional groupings

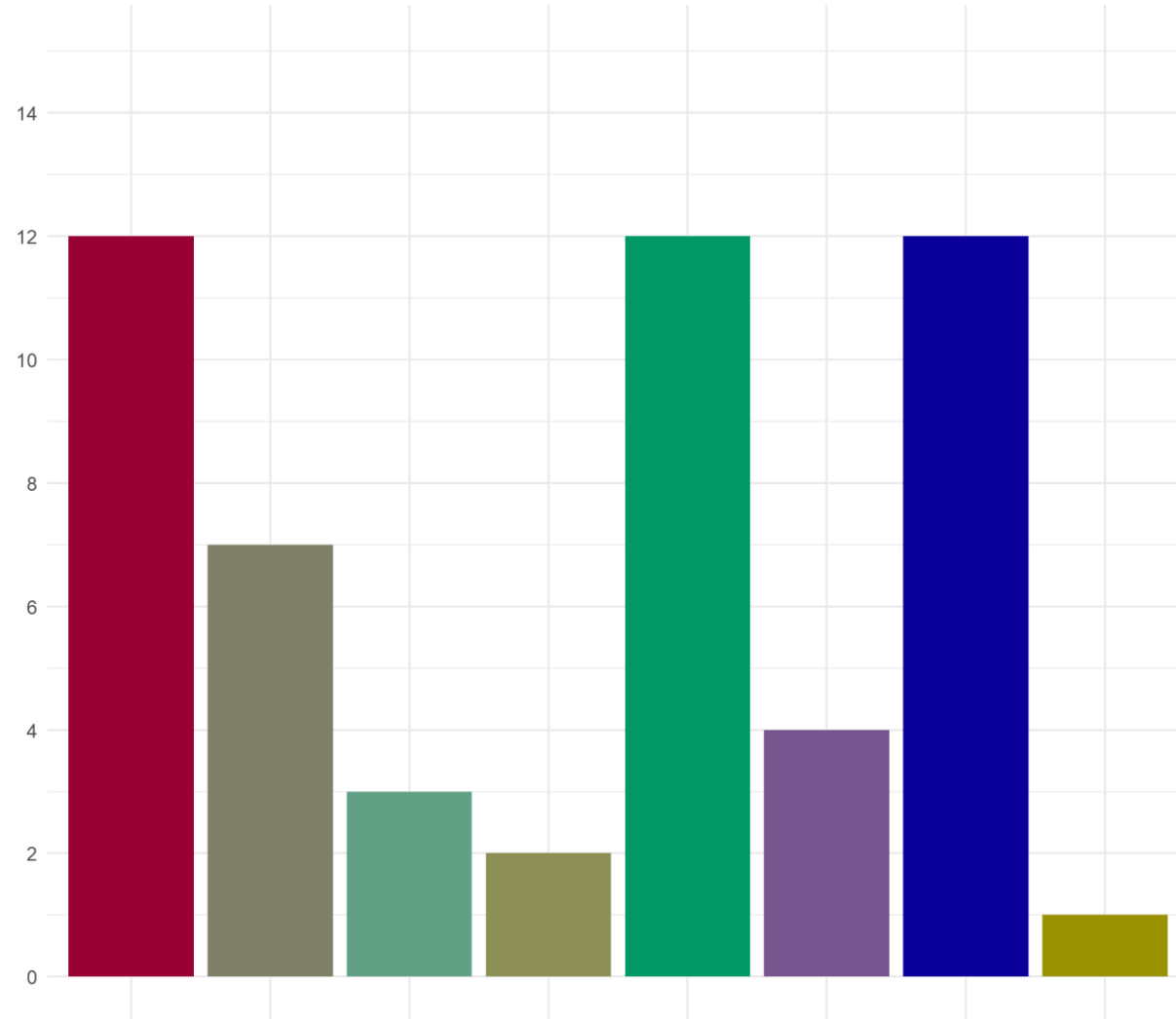
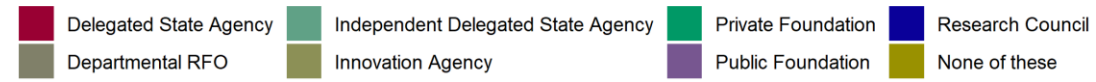
Study objectives

- Understand the role of RFOs in supporting and enhancing responsibility in R&I cultures and practices
- Research question

How do RFOs exert responsibility pressure?

- Through three mechanisms
 - Priority setting
 - Funding instruments
 - Research and researcher assessment
- How are societal stakeholders involved?

Participants



Type of RFO

- One major public RFO per country
- One additional RFO per country of any type

50 European RFOs (/52)

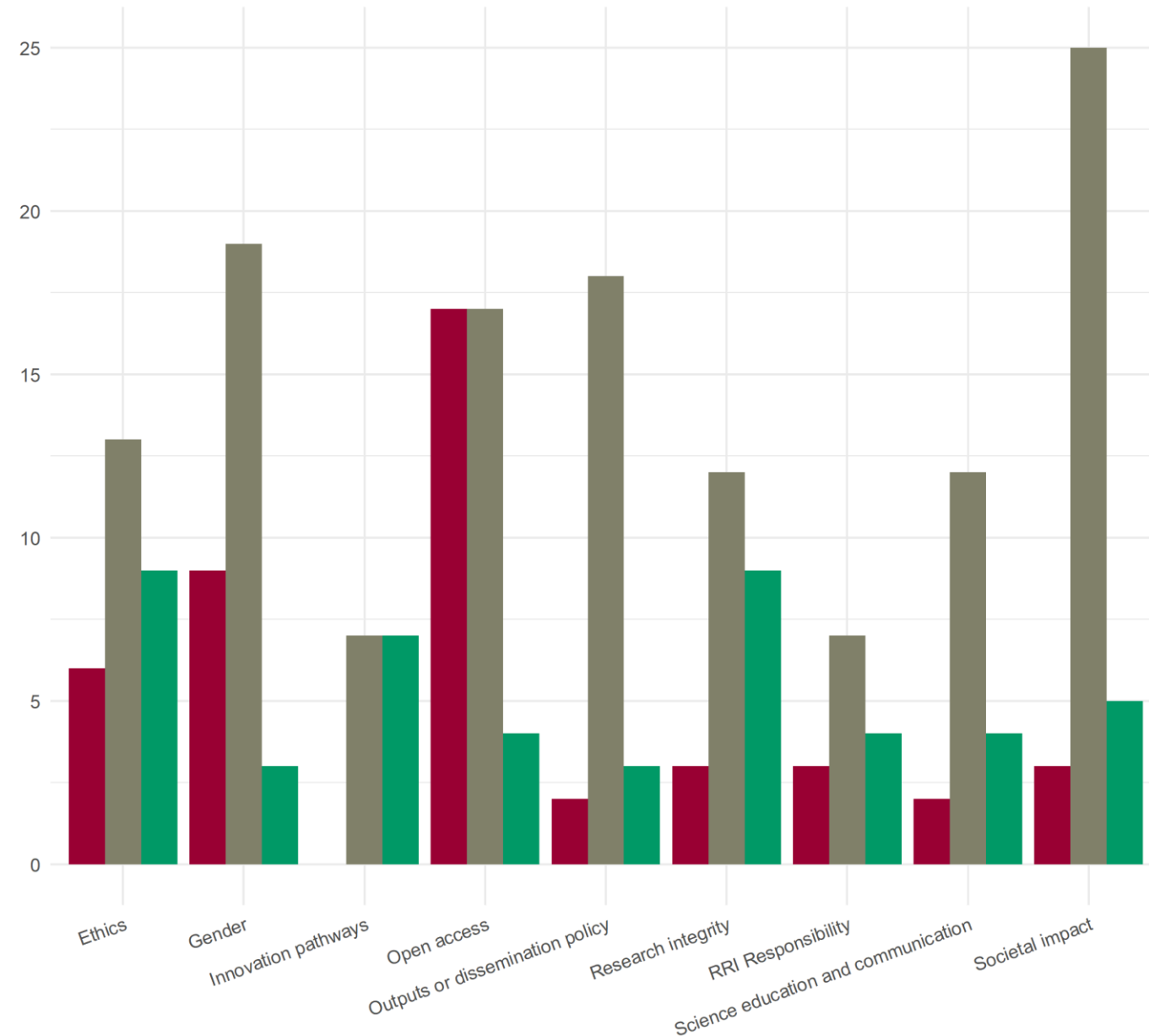
3 International

■ Standalone policy
 ■ Included in general / mixed policy
 ■ Planned / aspiration expressed

Policies

RRI and responsibility related policies

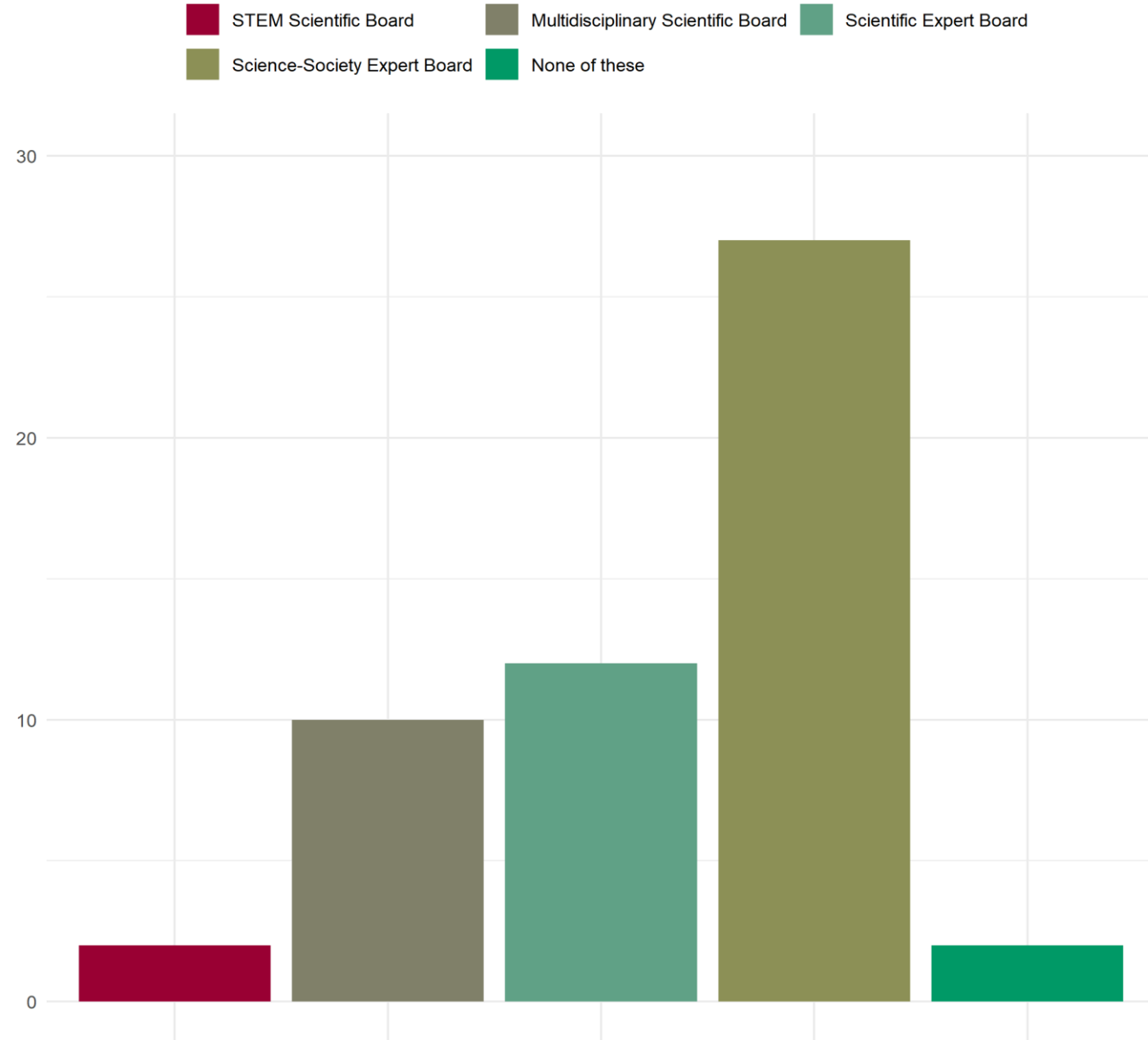
- Existing policy documents collected from RFOs
- Aspirations expressed in interviews



Advice

Sources of formal advice to the RFO

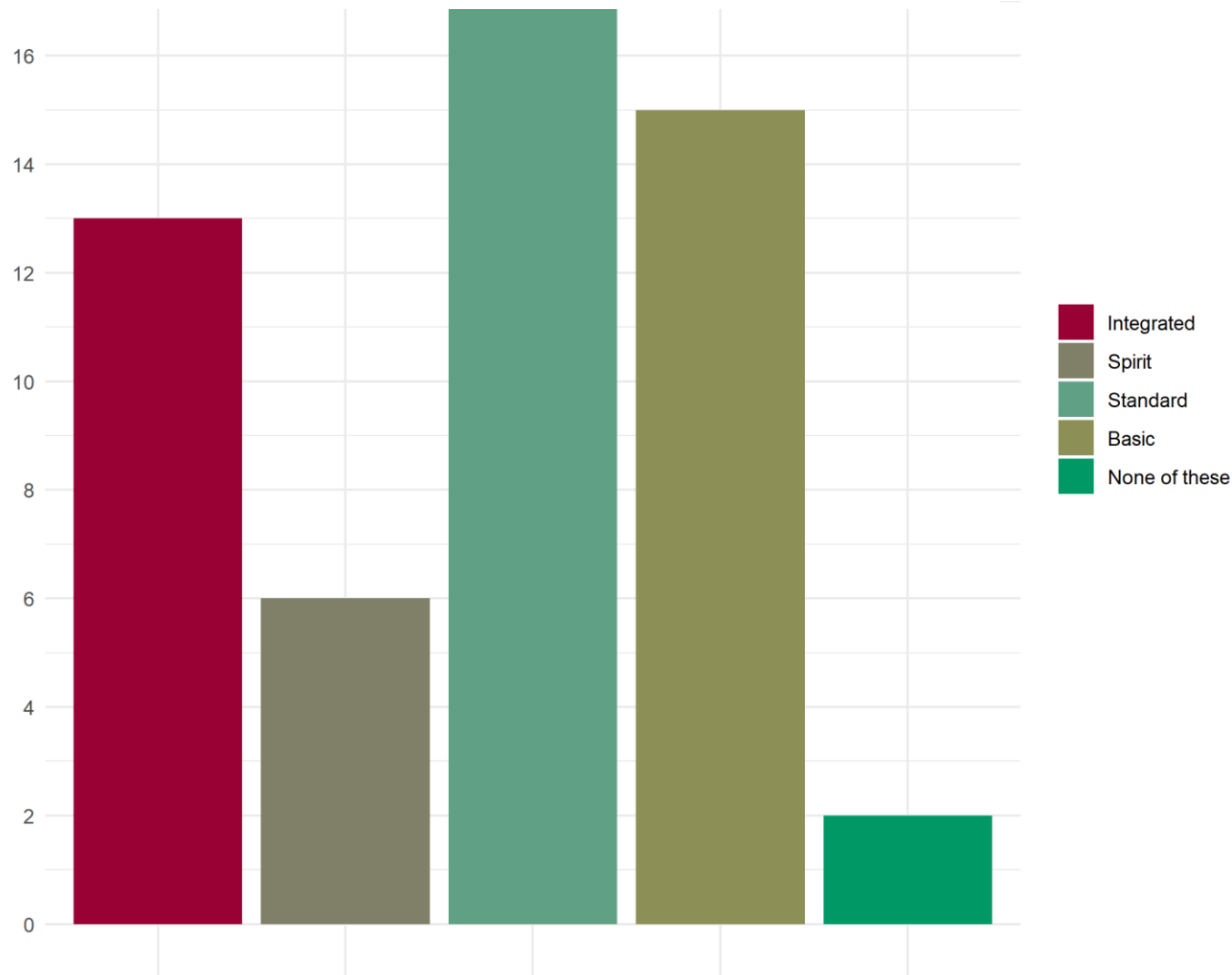
- Mix of scientific and societal stakeholders



Responsibility in funding instruments

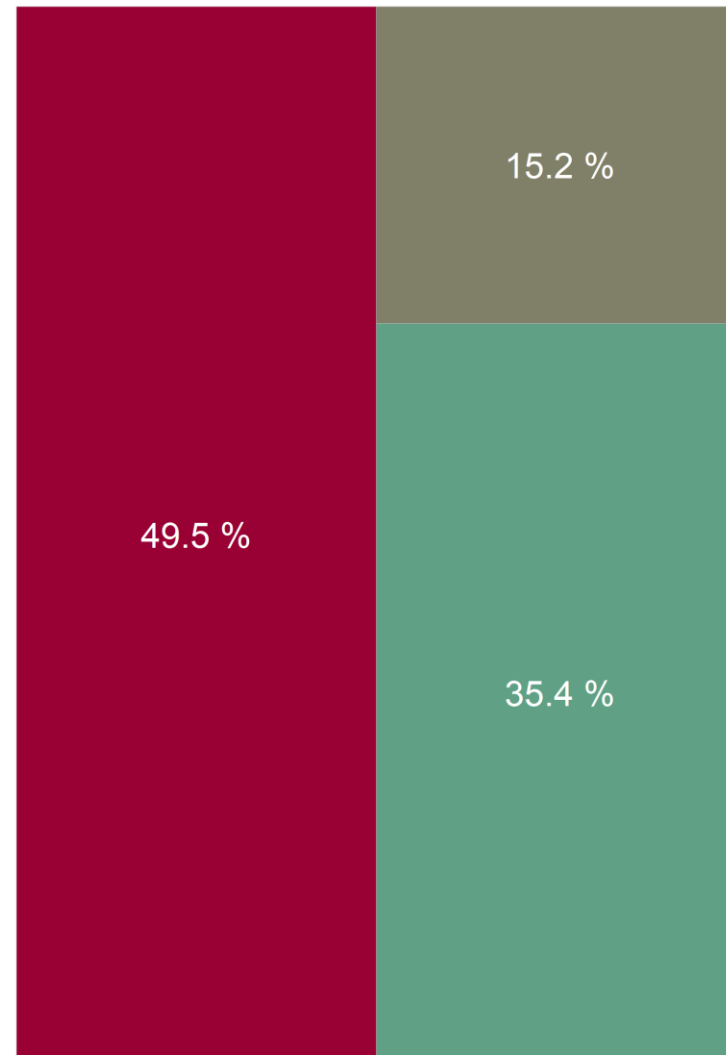
Rating of extent responsibility is integrated in main funding instruments

- Number of responsibility elements included in major Call
- Mainly required or preferred approaches or actions

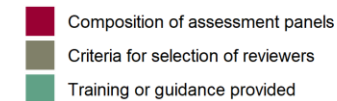


Repertoire – responsible assessment processes

- Gender balanced panels
- International panel members
- Inclusion of societal stakeholders and experts
- Industry organisations represented
- Interdisciplinary panel members



- Gender representation
- International and national reviewers
- Societal expert reviewers

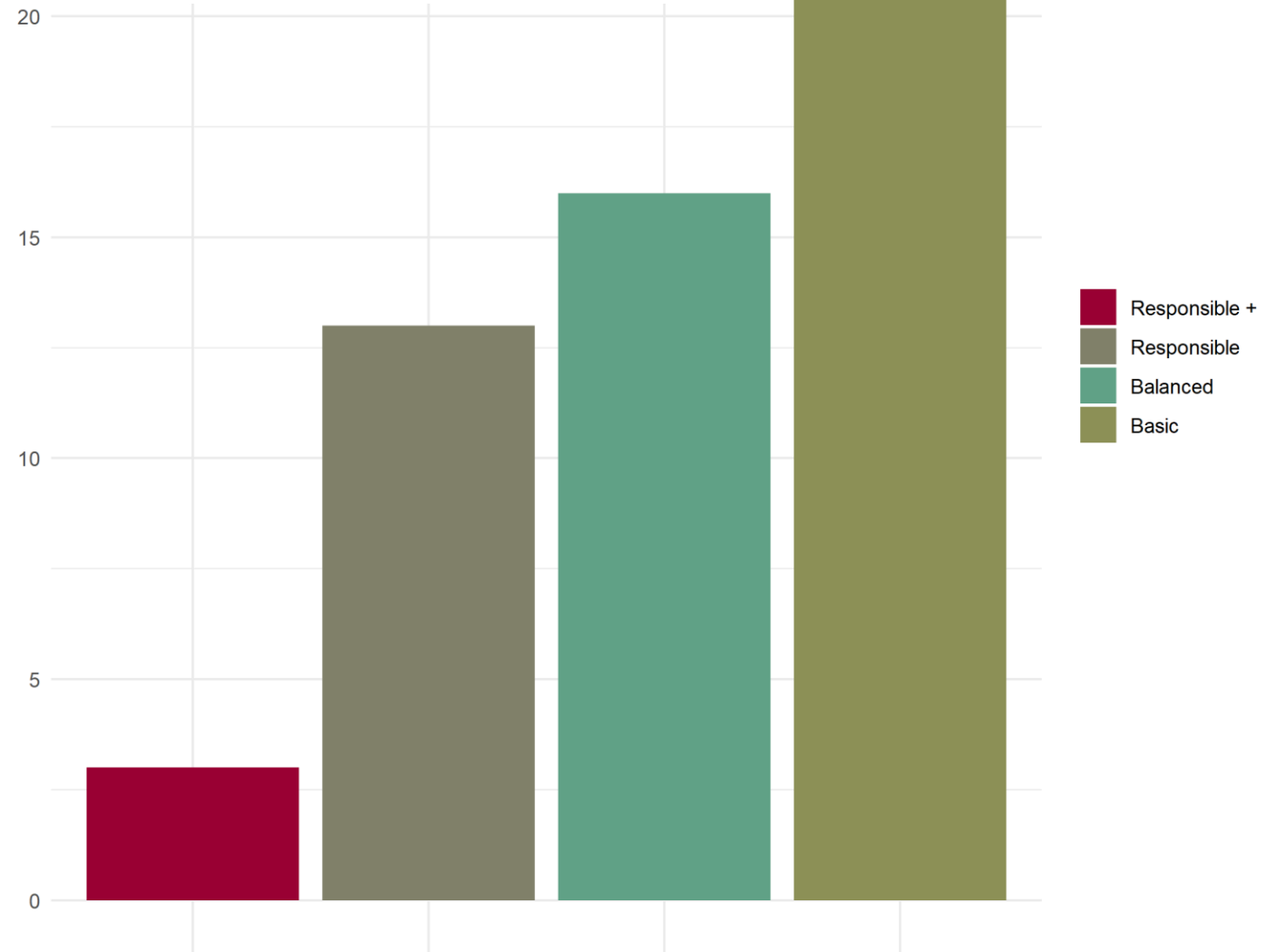


- Avoidance of unconscious biases
- Mitigation of gender bias
- Achievements assessed relative to opportunities
- Treatment of career breaks or changes
- Valuing inter/transdisciplinary approaches

Responsible assessment processes

Rating of extent responsibility is integrated in assessment processes

- Number / type of elements
- Inclusion of societal stakeholders
- Training / guidance provided



Summary

- Research funders typically have multiple responsibility-related policies, many are included in broader policies or strategies
- Societal stakeholders are present in a large majority of RFO's formal advisory bodies – and strongly represented in around half
- Data analysed to date shows that RFOs exert considerable responsibility pressure through funding instruments and in the conduct of research/er assessments
- A diverse repertoire of responsible approaches to assessment is evident, with opportunities for further learning and take-up

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Project website: www.supermorri.eu

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